

COMMONWEALTH OF VIRGINIA

DOUGLAS S. JOYCE
DIRECTOR

DANNY L. TAYLOR
DEPUTY DIRECTOR



MAILING ADDRESS:
P.O. BOX 1197
RICHMOND, VA 23218-1197
WWW.SCC.VIRGINIA.GOV/SRF

STATE CORPORATION COMMISSION
DIVISION OF SECURITIES AND RETAIL FRANCHISING

April 2, 2024

Re: IMPORTANT NOTICE REGARDING MUTUAL FUND APPLICATIONS

Please be advised as of April 30, 2024, the Division of Securities and Retail Franchising will no longer accept Mutual Fund applications on the Blue Express filing platform. Effective May 1, 2024, users of the Blue express platform can file Mutual Fund applications as indicated below.

Physical applications with checks made payable to “The Treasurer of Virginia” can be submitted to the Division at the following address.

Division of Securities & Retail Franchising, 9th Floor
ATTN: Ms. Patricia Duvall
1300 East Main Street
Richmond, VA 23219

Or

Mutual Fund applications can be submitted electronically through the NASAA EFD filing system at www.EFDNASAA.org. Please note system usage fees will apply.

Any questions regarding the submission of Mutual Fund applications can be directed at SRF_Examination@scv.virginia.gov or you may call 804-371-9276.