S.D.4.(1997) Agent File #

## COMMONWEALTH OF VIRGINIA STATE CORPORATION COMMISSION DIVISION OF SECURITIES AND RETAIL FRANCHISING

## APPLICATION FOR RENEWAL OF REGISTRATION AS AN AGENT OF AN ISSUER (Print or Type)

Last Name		First Name		Middle Name
residing at				, hereby
applies for a rene	Street Number wal of registration as an ne issuer specified below		State ne Securities Act, a	Zip nd reaffirms his
		(Name of Issuer)		
	(F	rincipal Address of Issue	er)	
The address of the issuer.	ne applicant's place of bu	siness is to be entered h	ere if other than the	principal address of
	in determining whether to			r or not the applicant
			YES	NO
Has bee Has bee Has any Has bee	n convicted of felony or r n sued for fraud, deceit on adjudicated as bankrup unsatisfied judgments a n denied a registration to a securities registration	or breach of trust? ot? gainst him? sell securities?	ishonesty? ( )	( ) ( ) ( ) ( ) ( )
	nable to answer any of the	e above with a (NO), the	e renewal cannot b	e processed until the
correct to the be-	d in the foregoing renew st of its knowledge and ation heretofore given.			
	Issuer			Date
	Ву	(S	signature)	Title

## **INSTRUCTIONS**

- 1. **Do not** send separate \$30.00 checks for each agent. Incorporate all fees into one check covering all renewals. Check to be made payable to the <u>Treasurer of Virginia</u>.
- 2. Should an investigation of the applicant be necessary, the Commission may require additional information.
- 3. If the applicant ceases to be connected with the issuer specified in the application, he and the issuer must promptly notify the Commission giving date of termination and advising that the agent's record is either clear or not clear.